

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10708837
Filing Date	2004-03-26
First Named Inventor	Beenau, et al.
Art Unit	2876
Examiner Name	Daniel I Walsh
Attorney Docket Number	70655.0100

<b>U.S.PATENTS</b>						<b>Remove</b>		
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1							
If you wish to add additional U.S. Patent citation information please click the Add button.						<b>Add</b>		
<b>U.S.PATENT APPLICATION PUBLICATIONS</b>						<b>Remove</b>		
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1							
If you wish to add additional U.S. Published Application citation information please click the Add button.						<b>Add</b>		
<b>FOREIGN PATENT DOCUMENTS</b>						<b>Remove</b>		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	0 473 998 A2	EP		1992-03-11	Eric Bauer		<input type="checkbox"/>
	2	EP 0 481 388 B1	EP		1992-04-22	GAO Gessellschaft fur Automation und Organisation		<input type="checkbox"/>
	3	WO 92/16913	WO		1992-10-01	The Security Systems Consortium Ltd		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>				Application Number		10708837
				Filing Date		2004-03-26
				First Named Inventor		Beenau, et al.
				Art Unit		2876
				Examiner Name		Daniel I Walsh
				Attorney Docket Number		70655.0100

	4	04-303692	JP		1992-10-27	Toppan Pritning Co Ltd		<input type="checkbox"/>
	5	EP 0 531 605 B1	EP		1993-03-17	NHK Spring Co Ltd		<input type="checkbox"/>
	6	05-069689	JP		1993-03-23	NHK Spring Co Ltd		<input type="checkbox"/>
	7	EP 0 552 047 B1	EP		1993-07-21	Hitachi Maxell Ltd		<input type="checkbox"/>
	8	EP 0 560 318 B1	EP		1993-09-15	Omron Corporation		<input type="checkbox"/>
	9	05-254283	JP		1993-10-05	Omron Corporation		<input type="checkbox"/>
	10	EP 0 568 185 B1	EP		1993-11-03	NHK Spring Co Ltd		<input type="checkbox"/>
	11	06-183187	JP		1994-07-05	Dainippon Printing Co Ltd		<input type="checkbox"/>
	12	06-191137	JP		1994-07-12	Toppan Printing Co Ltd		<input type="checkbox"/>
	13	06-234287	JP		1994-08-23	GAO GES Autom Org MBH		<input type="checkbox"/>
	14	EP 0 657 297 B1	EP		1995-06-14	AGFA-GEVAERT		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>				Application Number		10708837
				Filing Date		2004-03-26
				First Named Inventor		Beenau, et al.
				Art Unit		2876
				Examiner Name		Daniel I Walsh
				Attorney Docket Number		70655.0100

	15	07-173358	JP		1995-07-11	Nippon Shokubai Co Ltd		<input type="checkbox"/>
	16	07-205569	JP		1995-08-08	Toppan Printing Co Ltd		<input type="checkbox"/>
	17	0 368 570 A2	EP		1990-05-16	Kyodo Printing Co Ltd.		<input type="checkbox"/>
	18	WO 96/18972	WO		1996-06-20	Moore Business Forms Inc		<input type="checkbox"/>
	19	EP 0 721 850 A2	EP		1996-07-17	Thomas De La Rue Ltd		<input type="checkbox"/>
	20	08-244385	JP		1996-09-24	Dainippon Printing Co Ltd		<input type="checkbox"/>
	21	08-324163	JP		1996-12-10	Dainippon Printing Co Ltd		<input type="checkbox"/>
	22	09-052240	JP		1997-02-25	Sekisui Chem Co Ltd		<input type="checkbox"/>
	23	DE 29702538	DE		1997-04-03	Pixyfoto GmbH		<input type="checkbox"/>
	24	EP 0 780 839 A2	EP		1997-06-25	Canon Kabushiki Kaisha		<input type="checkbox"/>
	25	EP 0 789 316 B1	EP		1997-08-13	Kabushiki Kasha Toshiba		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>				Application Number		10708837
				Filing Date		2004-03-26
				First Named Inventor		Beenau, et al.
				Art Unit		2876
				Examiner Name		Daniel I Walsh
				Attorney Docket Number		70655.0100

	26	09-274640	JP		1997-10-21	Toshiba Corp		<input type="checkbox"/>
	27	10-129161	JP		1998-05-19	Dainippon Printing Co Ltd		<input type="checkbox"/>
	28	EP 0 866 420 A2	EP		1998-09-23	Time & Data Systems International Ltd		<input type="checkbox"/>
	29	EP 0 894 620 A1	EP		1999-02-03	Kanebo Ltd		<input type="checkbox"/>
	30	WO 99/12136	WO		1999-03-11	Koninklijke Philips Electronics N.V.		<input type="checkbox"/>
	31	WO 99/14055	WO		1999-03-25	Governor & Company of the Bank of England		<input type="checkbox"/>
	32	EP 0 916 519	EP		1999-05-19	Meiller Comcard GmbH		<input type="checkbox"/>
	33	WO 99/27492	WO		1999-06-03	Mackenthun		<input type="checkbox"/>
	34	CH689680	CH		1999-08-13	Electrowatt Tech Innovat Corp		<input type="checkbox"/>
	35	11-227367	JP		1999-08-24	Dainippon Printing Co Ltd		<input type="checkbox"/>
	36	WO 99/47983	WO		1999-09-23	Adimex SRO		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>				Application Number		10708837
				Filing Date		2004-03-26
				First Named Inventor		Beenau, et al.
				Art Unit		2876
				Examiner Name		Daniel I Walsh
				Attorney Docket Number		70655.0100

	37	2000-177229	JP		2000-06-27	Alps Electric Co Ltd		<input type="checkbox"/>
	38	02-130737	JP		1990-05-18	Kyodo Printing Co Ltd.		<input type="checkbox"/>
	39	2001-504406	JP		2001-04-03	Unknown		<input type="checkbox"/>
	40	WO 01/55955 A1	WO		2001-08-02	International Apparel Group LLC		<input type="checkbox"/>
	41	2001-315475	JP		2001-11-13	Toppan Printing Co Ltd		<input type="checkbox"/>
	42	2002-274087	JP		2002-09-25	Dainippon Printing Co Ltd		<input type="checkbox"/>
	43	2004-164347 A	JP		2004-06-10	Dainippon Printing Co Ltd		<input type="checkbox"/>
	44	1 371 254	GB		1974-10-23	Kilmer, et al.		<input type="checkbox"/>
	45	03-290780	JP		1991-12-20	Hitachi Maxell Ltd		<input type="checkbox"/>
	46	GB 2 240 948 A	UK		1991-08-21	Peter Robert Peter Sunman		<input type="checkbox"/>
	47	0 411 602 A2	EP		1991-02-06	Omron Corporation		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>		Application Number		10708837	
		Filing Date		2004-03-26	
		First Named Inventor		Beenau, et al.	
		Art Unit		2876	
		Examiner Name		Daniel I Walsh	
		Attorney Docket Number		70655.0100	

	48	0 403 134 A2	EP		1990-12-19	Ilford Limited		<input type="checkbox"/>
	49	02-252149	JP		1990-10-09	Kyodo Printing Co Ltd		<input type="checkbox"/>
	50	0 388 090 B1	EP		1990-09-19	Thomas de la Rue & Company Ltd		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

#### EXAMINER SIGNATURE

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>	Application Number		10708837
	Filing Date		2004-03-26
	First Named Inventor		Beenau, et al.
	Art Unit		2876
	Examiner Name		Daniel I Walsh
	Attorney Docket Number		70655.0100

### CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Kirk Dorius/	Date (YYYY-MM-DD)	2007-02-13
Name/Print	Kirk Dorius	Registration Number	54073

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.